

# **Temporal Synthesis: From Infinite to Finite Horizon**

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# Reactive Systems

**Reactivity:** Ongoing interaction with environment (Harel+Pnueli, 1985), e.g., hardware, operating systems, communication protocols, robots, etc. (also, *open systems*).

**Example:** Printer specification –  $J_i$  - job  $i$  submitted,  $P_i$  - job  $i$  printing.

- *Safety*: two jobs are not printing together
- *Liveness*: every job is eventually printed

**Crux:** Behavioral requirements over infinite traces rather than input/output requirements

# Temporal Logic

**Linear Temporal logic** (LTL): logic of temporal sequences (Pnueli, 1977) – *Main feature*: time is implicit

- *next*  $\varphi$ :  $\varphi$  holds in the next state.
- *eventually*  $\varphi$ :  $\varphi$  holds eventually
- *always*  $\varphi$ :  $\varphi$  holds from now on
- $\varphi$  *until*  $\psi$ :  $\varphi$  holds until  $\psi$  holds.

**Semantics**: over infinite traces (non-termination)

- $\pi, w \models \text{next } \varphi$  if  $w \bullet \xrightarrow{\quad} \bullet \xrightarrow{\quad} \bullet \xrightarrow{\quad} \bullet \xrightarrow{\quad} \bullet \dots$   
 $\varphi$
- $\pi, w \models \varphi \text{ until } \psi$  if  $w \bullet \xrightarrow{\quad} \bullet \xrightarrow{\quad} \bullet \xrightarrow{\quad} \bullet \xrightarrow{\quad} \bullet \dots$   
 $\varphi \quad \varphi \quad \varphi \quad \psi$

# Examples

- always not ( $CS_1$  and  $CS_2$ ): mutual exclusion (safety)
- always (Request implies eventually Grant): liveness
- always (Request implies (Request until ( $\neg$ Request  $\vee$  Grant))): liveness
- always ((always eventually Request) implies eventually Grant): liveness

# Printer

**Example:** Printer specification –  $J_i$  - job  $i$  submitted,  $P_i$  - job  $i$  printing.

- **Safety:** two jobs are not printing together  
*always*  $\neg(P_1 \wedge P_2)$
- **Liveness:** every jobs is eventually printed  
*always*  $\bigwedge_{j=1}^2 (J_i \rightarrow \text{eventually } P_i)$

# Verification

## Model Checking:

- *Given*: Program  $P$ , Specification  $\varphi$ .
- *Task*: Check that  $P$  satisfies  $\varphi$

- *Algorithmic methods*: temporal specifications and finite-state programs.
- *Also*: Certain classes of infinite-state programs
- *Tools*: SMV, SPIN, SLAM, etc.
- *Impact* on industrial design practice is increasing.

## Challenges:

- Designing  $P$  is hard and expensive.
- Redesigning  $P$  when  $P$  does not satisfy  $\varphi$  is hard and expensive.

# Automated Design

## Basic Idea:

- Start from spec  $\varphi$ , design  $P$  s.t.  $P$  satisfies  $\varphi$ .

*Advantage:* No verification, no re-design

- Derive  $P$  from  $\varphi$  algorithmically.

*Advantage:* No design

**In essence:** Declarative programming taken to the limit.

Harel, 2008: *“Can Programming be Liberated, Period?”*

# Program Synthesis

**The Basic Idea:** “Mechanical translation of human-understandable task specifications to a program that is known to meet the specifications.”

**Deductive Approach** (Green, 1969, Waldinger and Lee, 1969, Manna and Waldinger, 1980): Prove *realizability* of function, e.g.,  $(\forall x)(\exists y)(Pre(x) \rightarrow Post(x, y))$ ; Extract *program* from realizability proof.

## Classical vs. Temporal Synthesis:

- *Classical*: Synthesize input/output programs
- *Temporal*: Synthesize programs for *ongoing computations* (of reactive systems)



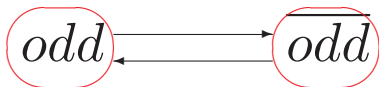
# Synthesis of Ongoing Programs

*Spec*: Temporal logic formulas

**Early 1980s**: Satisfiability approach  
(Wolper, Clarke+Emerson, 1981)

- *Given*:  $\varphi$
- *Satisfiability*: Construct model  $M$  of  $\varphi$
- *Synthesis*: Extract  $P$  from  $M$ .

**Example**:  $\text{always } (odd \rightarrow \text{next } \neg odd) \wedge$   
 $\text{always } (\neg odd \rightarrow \text{next } odd)$



# Satisfiability and Synthesis

**Print-Server Specification Satisfiable?** Yes!

*Model*  $M$ : A single state where  $J_1$ ,  $J_2$ ,  $P_1$ , and  $P_2$  are all false.

**Extract program from  $M$ ?** No!

*Why?* Because  $M$  handles only one input sequence.

- $J_1, J_2$ : input variables, controlled by environment
- $P_1, P_2$ : output variables, controlled by system

**Desired:** a system that handles *all* input sequences.

**Conclusion:** Satisfiability is *inadequate* for synthesis.

# Realizability

$I$ : input variables,  $O$ : output variables

**Game:** *System*: choose from  $2^O$ , *Env*: choose from  $2^I$

**Infinite Play:**  $(i_0, o_0), (i_1, o_1), (i_2, o_2), \dots$

**Win:** Behavior satisfies spec  $\varphi(I, O)$

**Strategy:** Function  $f : (2^I)^* \rightarrow 2^O$

**Realizability:** [Abadi+Lamport+Wolper, 1989  
Pnueli+Rosner, 1989a]: Existence of winning strategy for  
specification.

**Desideratum:** A *universal* plan! **Why:** *Autonomy!*

# Church's Problem

Church, 1957: Realizability problem wrt specification expressed in MSO (monadic second-order theory of one successor function)

Büchi+Landweber, 1969:

- Realizability is decidable.
- If a winning strategy exists, then a *finite-state* winning strategy exists.
- Realizability algorithm *produces* finite-state strategy – *synthesis*.

Rabin, 1972: Simpler solution via Rabin tree automata.

**Question:** LTL is subsumed by MSO, so what did Pnueli and Rosner do?

**Answer:** better algorithms!

# Strategy Trees

**Infinite Tree:**  $D^*$  ( $D$  - directions)

- **Root:**  $\varepsilon$ ;  $x \in D^* \Rightarrow$  **Children:**  $xd, d \in D$

**Labeled Infinite Tree:**  $\tau : D^* \rightarrow \Sigma$

**Strategy:**  $f : (2^I)^* \rightarrow 2^O$

*Rabin's insight:* A strategy is a labeled tree with directions  $D = 2^I$  and alphabet  $\Sigma = 2^O$ .

Rabin, 1972: Finite-state automata on infinite trees

# Emptiness of Tree Automata

*Emptiness:*  $L(A) = \emptyset$

**Emptiness of Automata on Finite Trees:** PTIME test (Doner, 1965)

**Emptiness of Rabin Automata on Infinite Trees:** Difficult

- Rabin, 1969: non-elementary
- Hossley+Rackoff, 1972: 2EXPTIME
- Rabin, 1972: EXPTIME
- Emerson, V.+Stockmeyer, 1985: In NP
- Emerson+Jutla, 1991: NP-complete

# Rabin's Realizability Algorithm

**REAL( $\varphi$ ):**

- Construct Rabin tree automaton  $A_\varphi$  that accepts all winning strategy trees for spec  $\varphi$ .
- Check non-emptiness of  $A_\varphi$ .
- If nonempty, then we have realizability; extract strategy from non-emptiness witness.

**Complexity:** non-elementary

*Reason:*  $A_\varphi$  is of non-elementary size for spec  $\varphi$  in MSO.

## Post-1972 Developments

- Pnueli, 1977: Use LTL rather than MSO as spec language.
- V.+Wolper, 1983: Elementary (exponential) translation from LTL to automata.
- Safra, 1988: Doubly exponential construction of tree automata for strategy trees wrt LTL spec (using V.+Wolper).
- Rosner+Pnueli, 1989: 2EXPTIME realizability algorithm wrt LTL spec (using Safra).
- Rosner, 1990: Realizability is 2EXPTIME-complete.



# Standard Critique

**Impractical!** 2EXPTIME is a horrible complexity.

**Response:**

- 2EXPTIME is just worst-case complexity.
- 2EXPTIME lower bound implies a doubly exponential bound on the size of the smallest strategy; thus, hand design cannot do better in the worst case.

**2EXPTIME:** Need not be an insurmountable problem, but algorithmics is *very challenging!*

# Automata on Infinite Words

## Nondeterministic Büchi Automaton on Words (NBW)

$$A = (\Sigma, S, s_0, \rho, F)$$

- *Alphabet*:  $\Sigma$
- *States*:  $S$
- *Initial state*:  $s_0 \in S$
- *Transition function*:  $\rho : S \times \Sigma \rightarrow 2^S$
- *Accepting states*:  $F \subseteq S$

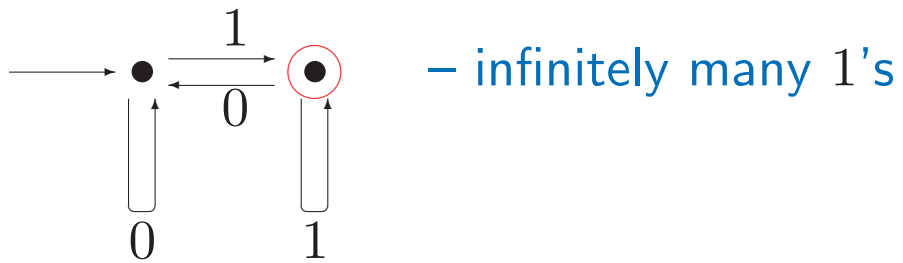
**Input word**:  $a_0, a_1, \dots$  **Run**:  $s_0, s_1, \dots$  —  $s_{i+1} \in \rho(s_i, a_i)$  for  $i \geq 0$

**Acceptance**:  $F$  visited infinitely often

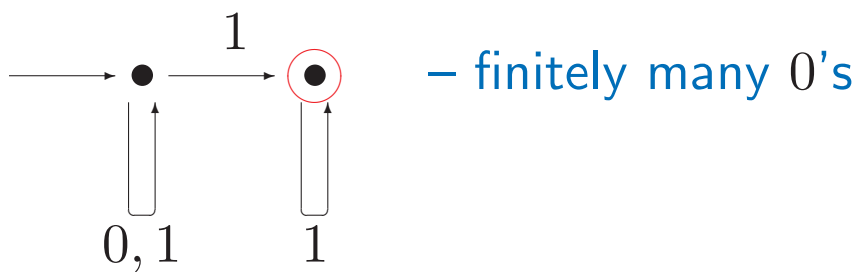
**Motivation**: (1) characterizes  $\omega$ -regular languages; (2) equally expressive to MSO (Büchi 1962); (3) more expressive than LTL

## Examples

$$((0 + 1)^*1)^\omega:$$



$$(0 + 1)^*1^\omega:$$



# Temporal Logic vs. Büchi Automata

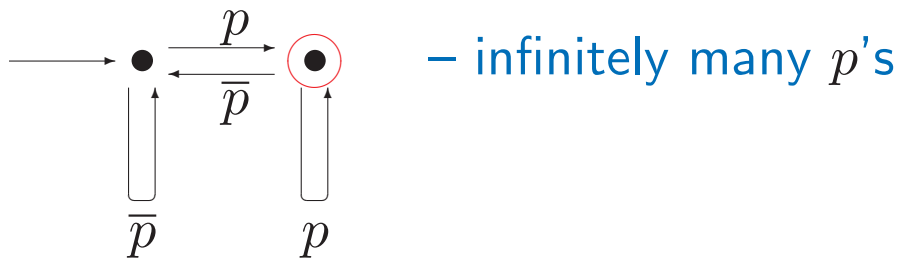
**Paradigm:** Compile high-level logical specifications into low-level finite-state language

**The Compilation Theorem:** V.-Wolper, 1983

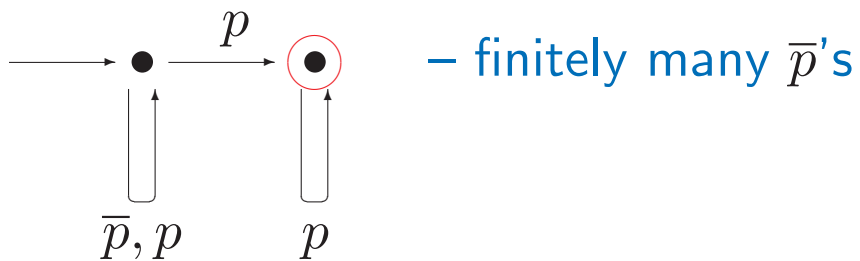
Given an LTL formula  $\varphi$ , one can construct an NBW  $A_\varphi$  such that a computation  $\sigma$  satisfies  $\varphi$  if and only if  $\sigma$  is accepted by  $A_\varphi$ . Furthermore, the size of  $A_\varphi$  is at most exponential in the length of  $\varphi$ .

# Temporal Logic vs. Büchi Automata: Examples

always eventually  $p$ :



eventually always  $p$ :



# Realizability Games

## NBW Games:

- $S$  choose output value  $a \in \Sigma$
- $E$  choose input value  $b \in \Delta$
- *Round*:  $S$  and  $E$  set their variables
- *Play*: infinite word in  $(\Sigma \times \Delta)^\omega$
- *Specification*: NBW  $A$  over the alphabet  $\Sigma \times \Delta$
- $S$  wins when infinite play is accepted by  $A$ .

## A Mismatch:

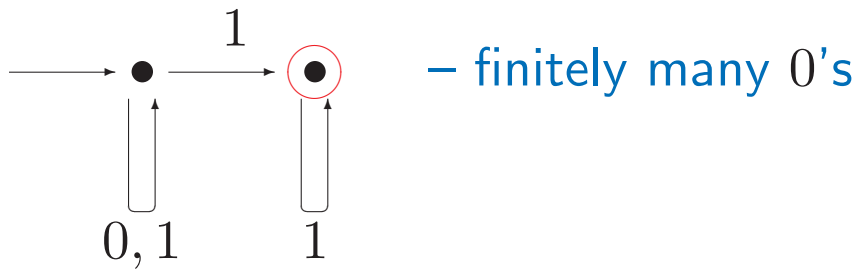
- Nondeterministic automata have “foresight”.
- Strategies do not have foresight.

**Solution:** Determinize  $A$

# Determinization

**Key Fact** (Landweber, 1969): Nondeterministic Büchi automata are more expressive than deterministic Büchi automata.

**Example:**  $(0 + 1)^* 1^\omega$ :



McNaughton, 1966: NBW can be determinized using more general acceptance condition – blow-up is *doubly exponential*.

# Parity Automata

## Deterministic Parity Automata (DPW)

$A = (\Sigma, S, s_0, \rho, \mathcal{F})$

- $\mathcal{F} = (F_1, F_2, \dots, F_k)$  - partition of  $S$ .
- *Parity index*:  $k$
- *Acceptance*: Least  $i$  such that  $F_i$  is visited infinitely often is even.

Safra, 1988: NBW with  $n$  states can be translated to DPW with  $n^{O(n)}$  states and parity index  $O(n)$ .



# Parity Games

**Game Graphs:**  $G = (V_0, V_1, E, v_s, \mathcal{W})$

- $E \subseteq (V_0 \times V_1) \cup (V_1 \times V_0)$
- $v_s$ : start node
- $W \subseteq V_0 \cup V_1$ : winning set
- Player 0 moves from  $V_0$ ,  
Player 1 moves from  $V_1$ .
- $\mathcal{W} = (W_1, W_2, \dots, W_k)$  – partition of  $V_0 \cup V_1$
- Play 0 wins: least  $i$  such that  $W_i$  is visited infinitely often is even.

## Solving Parity Games:

- Calude et al., 2017: Quasi-PTIME

**Open Question:** In PTIME?

# LTL Synthesis

## Algorithm for LTL Synthesis:

- Convert specification  $\varphi$  to NBW  $A_\varphi$  (exponential blow-up)
- Convert NBW  $A_\varphi$  to DPW  $A_\varphi^d$  (exponential blow-up)
- Solve parity game for  $A_\varphi^d$  (quasi-polytime)

Pnueli-Rosner, 1989: LTL realizability/synthesis is 2EXPTIME-complete.

- *Transducer*: finite-state program with doubly exponentially many states

# Theory, Experiment, and Practice

## Automata-Theoretic Approach in Practice:

- Mona: MSO on finite words
- Linear-Time Model Checking: LTL on infinite words

## Experiments with Automata-Theoretic Approach:

- Symbolic decision procedure for CTL (Marrero 2005)
- Symbolic synthesis using NBT (Wallmeier-Hütten-Thomas 2003)

# LTL Synthesis

## Why LTL synthesis is so hard?

- *NBW determinization is hard in practice*: from 9-state NBW to 1,059,057-state DRW (Althoff-Thomas-Wallmeier 2005)
- *NBW determinization is hard in practice*: no symbolic algorithms
- Parity games are hard in practice!

Esparza-Kretinsky-Sickert, 2020: Direct translation from LTL to  $\omega$ -automata  
– but still hard!

## Solution: General Reactivity (1)

Piterman-Pnueli-Sa'ar, 2006: Limit LTL  
specification:  $(\textit{AlwaysEventually } P) \rightarrow (\textit{AlwaysEventually } Q)$

### Pros:

- Cubic game solvability (wrt game size)
- Tools, e.g., *Slugs*
- Broad applicability – popular in robotics

Cons: low expressiveness!

# A New Approach: Finite-Horizon Reasoning

De Giacomo–V., 2013:  $LTL_f$  – LTL on finite traces

**Example:** Always Eventually  $p \rightarrow p$  holds at final state of trace.

**Motivation:** AI planning, robot task-motion planning, business processes

**Remark:** Note popularity of *Co-safe* LTL [Kupferman&V., 2001].

**Earlier Work:**  $fLTL$  [Baier&McIlraith, 2006],  $FLTL$  [Bauer, Leucker, Schallhart, 2010]

**Cons:** 2EXPTIME-complete, **Pros:** Easier algorithmics

# Classical AI Planning

## Deterministic Finite Automaton (DFA)

$$A = (\Sigma, S, s_0, \rho, F)$$

- *Alphabet*:  $\Sigma$
- *States*:  $S$
- *Initial state*:  $s_0 \in S$
- *Transition function*:  $\rho : S \times \Sigma \rightarrow S$
- *Accepting states*:  $F \subseteq S$

**Input word**:  $a_0, a_1, \dots, a_{n-1}$  **Run**:  $s_0, s_1, \dots, s_n$

- $s_{i+1} = \rho(s_i, a_i)$  for  $i \geq 0$ ; **Acceptance**:  $s_n \in F$ .

**Planning Problem**: Find word leading from  $s_0$  to  $F$ .

- *Realizability*:  $L(A) \neq \emptyset$ ; *Program*:  $w \in L(A)$

# Reachability Games

**Game Graphs:**  $G = (V_0, V_1, E, v_s, W)$

- $E \subseteq (V_0 \times V_1) \cup (V_1 \times V_0)$
- $v_s$ : start node
- $W \subseteq V_0 \cup V_1$ : winning set
- Player 0 moves from  $V_0$ , Player 1 moves from  $V_1$ .
- Player 0 wins: reach  $W$ .

**Fact:** Reachability games can be solved in *linear time* –least fixpoint algorithm



# Universal Planning

## DFA Games:

- $S$  choose output value  $a \in \Sigma$ ;  $E$  choose input value  $b \in \Delta$
- *Round*:  $S$  and  $E$  set their values
- *Play*: word in  $(\Sigma \times \Delta)^*$
- *Specification*: DFA  $A$  over the alphabet  $\Sigma \times \Delta$
- $S$  wins when play is accepted by  $A$ .

**Realizability and Synthesis:** *Strategy* for  $S$  –  $\tau : \Delta^* \rightarrow \Sigma$

- *Realizability* – exists *winning* strategy for  $S$
- *Synthesis* – obtain such winning strategy.

# Solving DFA Games

$$A = (\Sigma \times \Delta, S, s_0, \rho, F)$$

Define  $win_i(A) \subseteq S$  inductively:

- $win_0(A) = F$
- $win_{i+1}(A) = win_i(A) \cup \{s : (\exists a \in \Sigma)(\forall b \in \Delta)\rho(s, (a, b)) \in win_i(A)\}$

**Lemma:**  $S$  wins the  $A$  game iff  $s_0 \in win_\infty(A)$ .

**Bottom Line:** *linear-time*, least-fixpoint algorithm for DFA realizability.  
What about synthesis?

# Transducers

**Transducer:** a finite-state representation of a strategy—deterministic automaton with output

$$T = (\Delta, \Sigma, Q, q_0, \alpha, \beta)$$

- $\Delta$ : input alphabet
- $\Sigma$ : output alphabet
- $Q$ : states
- $q_0$ : initial state
- $\alpha : S \times \Delta \rightarrow S$ : transition function
- $\beta : S \rightarrow \Sigma$ : output function

**Key Observation:** A transducer representing a winning strategy can be extracted from  $win_0(A), win_1(A), \dots$

# Algorithmic Ideas

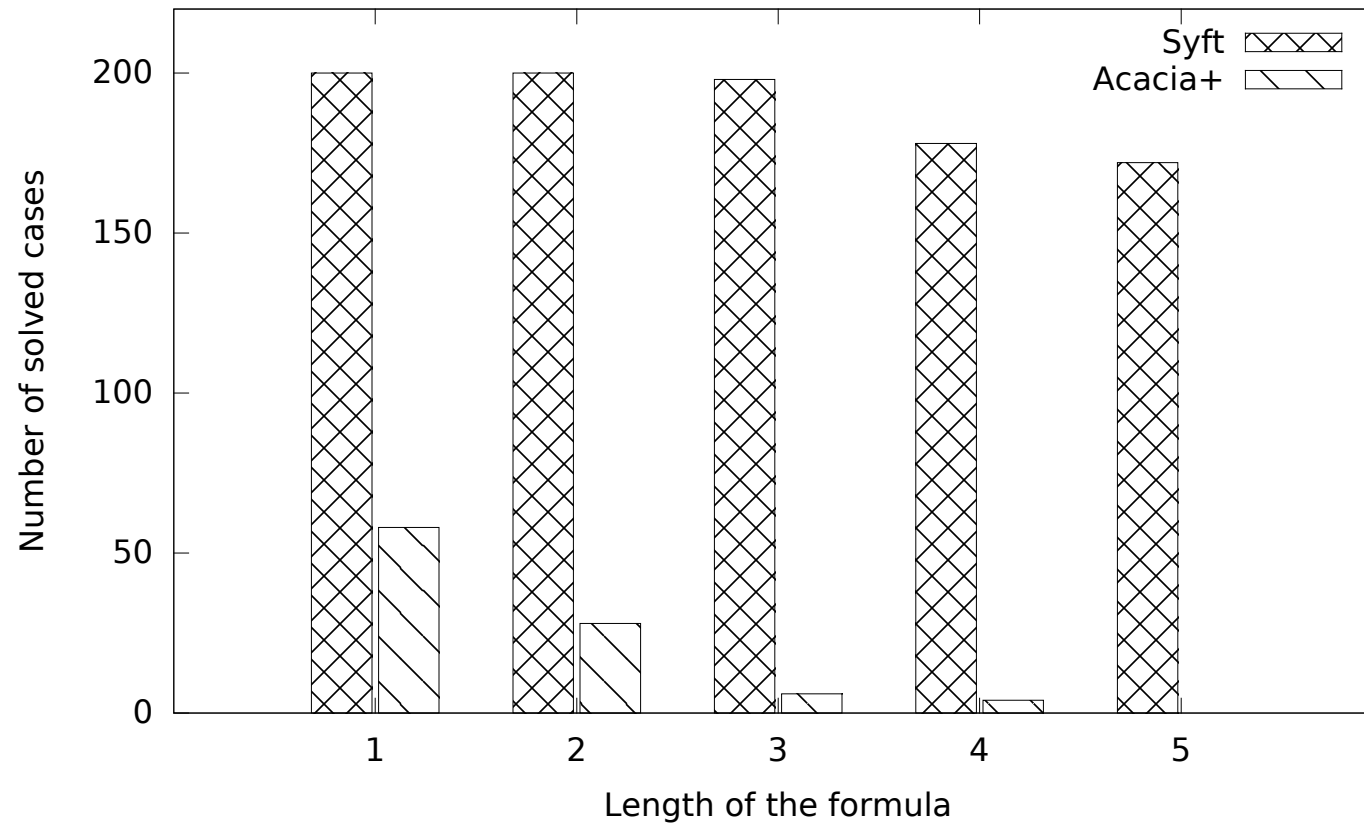
## Observations

- If  $\varphi$  is an  $LTL_f$  formula, then it can be translated to DFA [Buechi, Elgot, Trakhtenbrot, 1960-62, Kamp, 1968].
- Solve DFA games for realizability and synthesis [De Giacomo & V., 2015]

## Implementation [Zhu-Tabajara-Li-Pu-V., 2017]:

- Translate  $\varphi$  to FOL, and use MONA to translate to BDD-based *Symbolic DFA*.
- Solve DFA game symbolically
- Open Tool: *Syft*

# Performance Comparison



## Discussion

**Question:** Can we hope to reduce a 2EXPTIME-complete approach to practice?

**Answer:**

- Worst-case analysis is pessimistic.
  - Mona solves nonelementary problems.
  - SAT-solvers solve huge NP-complete problems.
  - Model checkers solve PSPACE-complete problems.
  - We need algorithms that blow up only on hard instances!
  - More algorithmic research needed!
- **Question** Can “DFA Technology” be used beyond  $LTL_f$ ?

## Application: Safety LTL

Normal Form for Safety Temporal Properties:

Zhu-Tabajara-Li-Pu-V., 2017: Limit LTL  
specification: No *Until* or *Eventually*

**Example:** Replace  $Always(Snd \rightarrow EventuallyRcv)$  by  
 $Always(Snd \rightarrow NextNextNextRcv)$

**Pros:**

- Linear game solvability (wrt game size)
- Tools, e.g., *Ssyft*

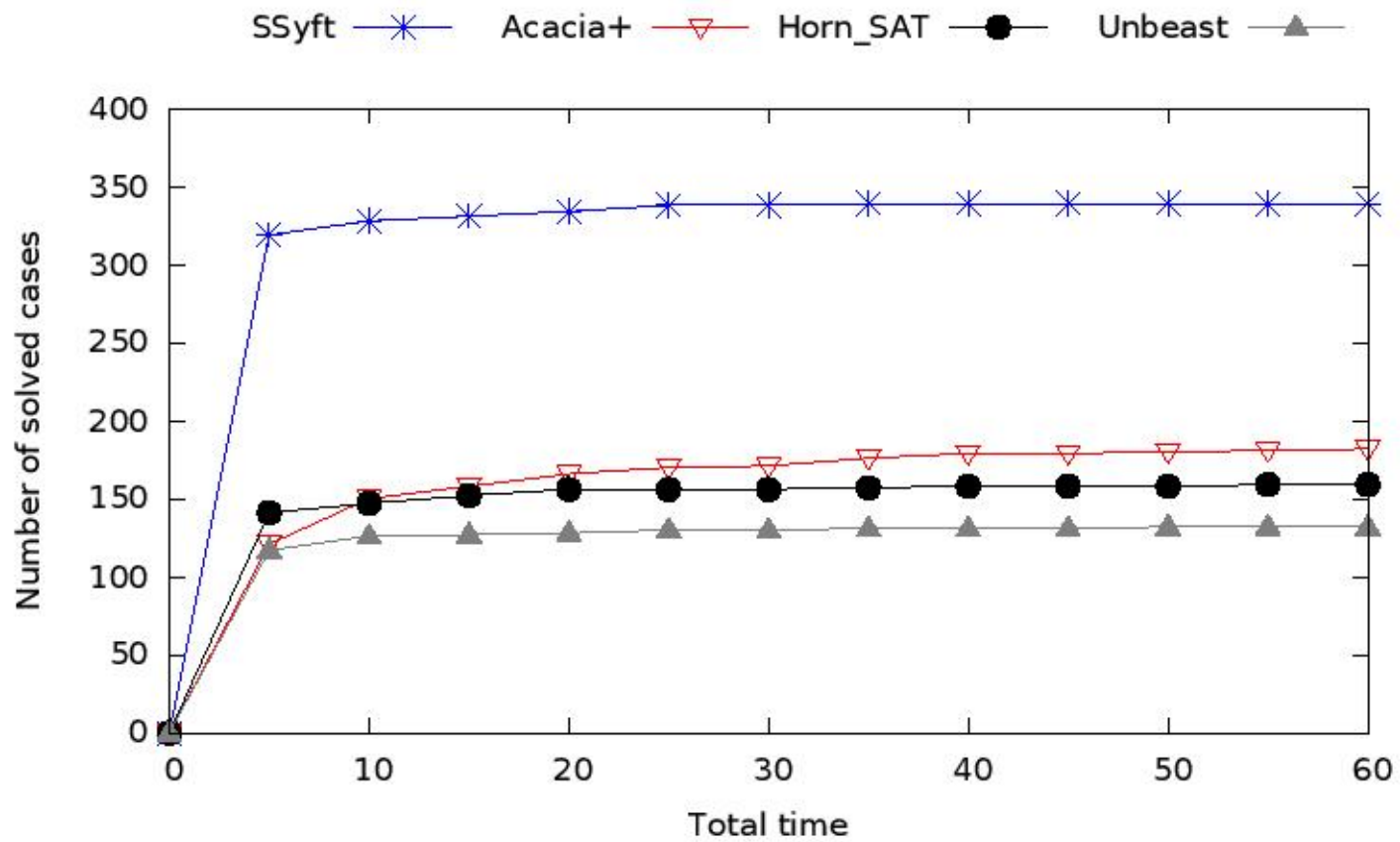
# Algorithmic Ideas

## Observations

- If  $\varphi$  is a Safety LTL formula, then  $\neg\varphi$  is a co-safety formula, which can be translated to DFA [Kupferman+V., 2000].
- Solve for adversary in DFA game.
- Translate  $\neg\varphi$  to FOL, and use MONA to translate to BDD-based *Symbolic DFA*.
- Solve DFA game symbolically.



# Performance Comparison



# Best of all Possible Worlds

## Two success stories in temporal synthesis

- GR(1)
- Finite-horizon synthesis

**Question:** Can the two approaches be combined?

**Comment:** Finite-horizon goals may require infinite-horizon assumptions, e.g., *EventuallyReceived* may require *AlwaysEventuallyChannelUp*.

De Giacomo, Di Stasio, Tabajara, V., S. Zhu, IJCAI'21: *Finite-Trace and Generalized-Reactivity Specifications in Temporal Synthesis* – use finite-horizon techniques to construct game arena and solve GR(1) games on that arena – *outperforms LTL synthesis*

# In Conclusion

## The Siren Song of Temporal Synthesis

- **LTL Synthesis**: a seductive idea
- **But**: horrible complexity and challenging algorithmics

**Conclusion**: high price for infinite-horizon semantics

**Life Wisdom**: You can run further by running slower. Time to consider finite-horizon temporal reasoning!